

## $H_\infty$ State Feedback Controller for ODE Model of Traffic Flow

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**Abstract:** In this study, we propose an  $H_\infty$  state feedback controller for freeway traffic flow. The integrated freeway traffic flow management scheme is applied through coordination of ramp metering and variable speed limit. In order to improve the service characteristics of mainstream and on-ramps, we have designed a controller that sustains the mainstream flow around a critical density while concerning the on-ramp queue lengths. We have used the state space discretized ODE model of traffic flow to design continuous-time feedback controllers. The performance of the controller is validated on a micro-simulation environment which is calibrated by field data. The simulation results show that the  $H_\infty$  controller decreases the average densities and the average queue lengths on on-ramps.

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**Keywords:** Traffic modelling and control,  $H_\infty$  control, ramp metering, variable speed limit

### 1. INTRODUCTION

One of the major goals of freeway traffic management is to maximize throughput without constructing new infrastructures such as additional lanes or new roads. Prior to field implementation, it is a common practice to test control and operational strategies by simulation Celikoglu and Dell'Orco (2008); Müller et al. (2015); Fountoulakis et al. (2017); Sadat and Celikoglu (2017); Silgu et al. (2018).

Ramp metering (RM) and variable speed limit (VSL) have been designed with various goals including feedback control Carlson et al. (2011, 2014); Iordanidou et al. (2015), robust control Hajiahmadi et al. (2013, 2016), multi-objective control Luspay et al. (2017), proportional-integral control Pasquale et al. (2014) and optimal control Gomes and Horowitz (2006); Caligaris et al. (2007); Roncoli et al. (2015a,b). The studies Lu et al. (2010a) and Lu et al. (2010b), RM and VSL are applied in order to maximize the throughput of a recurrent bottleneck. Stability analysis of VSL control is analyzed in Zhang and Ioannou (2018a,b) A model predictive control based feedback controller and a feedforward controller are applied in order to prevent shockwave propagation in Csikós et al. (2013).

$H_\infty$  control is a powerful tool in analysing the stability of non-linear systems under bounded disturbances. The methods of  $H_\infty$  restricts the effects of exogenous disturbances on the dynamical system behavior up to an  $H_\infty$  gain. Traffic flow as a dynamical system, there are applications analyzing the stability of traffic state under disturbances with  $H_\infty$  control methodologies Lemarchand et al. (2011); Mohajerpoor et al. (2020). However,  $H_\infty$  control approaches have not been considered for ensuring the stability of the mainstream density of a freeway and the

queue lengths of the on-ramps under flow disturbances to the mainstream and on-ramps.

The main contributions of this work can be summarized as follows:

- An  $H_\infty$  state feedback controller ( $H_\infty$  SFC) is designed in order to regulate the mainstream and to keep at a critical density.
- While keeping the mainstream density at critical level, the queue lengths of the on-ramps are also taken into account to avoid spillback.
- The  $H_\infty$  SFC is proposed to ensure the asymptotic stability of the closed-loop system and to meet an  $H_\infty$  gain and performance for disturbances coming to the on-ramps and to the beginning of the mainstream.
- The state feedback controller has been tested to regulate traffic flow on a the real freeway network piece, which is simulated using real data on a micro-simulation environment.

In this study, we propose a novel controller for the integrated control of freeway traffic. We have designed an  $H_\infty$  state-feedback controller ( $H_\infty$  SFC) to regulate the freeway mainstream by keeping the flow at a critical density while taking into account the queue dynamics using RM and VSL techniques. On purpose we have adopted the ordinary differential equations (ODE) model of Lighthill-Whitham-Richards (LWR) (see Chapter 9 of Kachroo and Ozbay (2003) for single input case). Seeking the control of the disturbances due to the on-ramp inflows with a controlled output, the  $H_\infty$  SFC we have proposed ultimately aims to suppress the system disturbances. We are not aware of such a controller as described and differentiated above. We have sought the validation and the performance of

the controller we propose over a micro-simulation environment, in which we calibrate the simulation model using field data from a real case involving multiple on-ramps and a single off-ramp.

The organization of the paper is as follows: In the beginning, we provide a standard notation, the basic definitions, and lemmas. We describe and state our problem in Section III. We define the  $H_\infty$  state feedback controller in Section IV. In Section V, we introduce the real freeway test field where we integrate the proposed controller using micro-simulation. We discuss the simulation results in Section VI and conclude with concluding remarks and future research in the final section.

## 2. BASIC DEFINITIONS AND LEMMAS

We adopt the standard notation among the paper. Inside the matrix blocks, “\*” stands for the corresponding conjugate term or block of the upper triangular matrix.  $C^0$ ,  $C^1$  and  $L_2$  denote the continuous function, continuously differentiable function classes on their domain of existence and the square integrable function class, respectively. We assume that all the matrices are compatible for algebraic operations. Given vectors  $\mathbf{x}_1$  and  $\mathbf{x}_2$  with appropriate dimensions and a positive definite symmetric matrix  $P$ ,  $\mathbf{x}_1^T P \mathbf{x}_2 + \mathbf{x}_2^T P \mathbf{x}_1$  is expressed as  $2\mathbf{x}_1^T P \mathbf{x}_2$ , for simplicity.

Let us consider a nonlinear system

$$\dot{\mathbf{x}}(t) = f(\mathbf{x}(t)), \quad \mathbf{x}(0) = \mathbf{x}_0 \quad (1)$$

where  $\mathbf{x}(t) \in \mathcal{D} \subseteq \mathbf{R}^n$  is the state vector. Here, the sufficiently smooth vector field  $f \in C^0$  defined as  $f: \mathcal{D} \rightarrow \mathbf{R}$ . If  $f(\mathbf{x}_e) = 0$ , then the point  $\mathbf{x}_e \in \mathcal{D}$  is said to be the equilibrium point of the operating system. For ease of notation, we will state all definitions and theorems for  $\mathbf{x}_e = 0$ . In order to present the main result of the paper, we need the following stability definitions (Khalil, 2002, Definition 4.1.).

**Definition 1.** The equilibrium point of the system (1) is said to be stable, if for every  $\varepsilon > 0$ , there exists a  $\delta > 0$  such that,  $\|\mathbf{x}_0\| < \delta$  implies  $\|\mathbf{x}(t)\| < \varepsilon$ , for every  $t \geq 0$ .

**Definition 2.** The equilibrium point of the system (1) is said to be asymptotically stable, if it is stable and there exists  $\delta > 0$  such that,  $\|\mathbf{x}_0\| < \delta$  implies  $\lim_{t \rightarrow \infty} \|\mathbf{x}(t)\| = 0$ .

**Definition 3.** A continuous scalar function  $V: \mathbf{R}^n \rightarrow \mathbf{R}$  is said to be Lyapunov function if for any  $R_\varepsilon > 0$

- $V(\mathbf{0}) = 0$  and
- $V(\mathbf{x}) > 0, \forall \mathbf{x} \in B_\varepsilon = \{\mathbf{x} \mid \|\mathbf{x}\| < R_\varepsilon\} - \{\mathbf{0}\}$ ,

holds.

**Theorem 4.** The dynamical system (1) is locally asymptotically stable, if there exists a Lyapunov function  $V(\mathbf{x})$  satisfying

$$\dot{V} = \frac{dV(\mathbf{x})}{dt} = \nabla V \cdot f(\mathbf{x}(t)) < 0, \quad (2)$$

$$\forall \mathbf{x} \in B_\varepsilon = \{\mathbf{x} \mid \|\mathbf{x}\| < R_\varepsilon\} - \{\mathbf{0}\}.$$

**Remark 1.** The dynamical system (1) is globally asymptotically stable, if there exists a Lyapunov function  $V(\mathbf{x})$  satisfying (3) for all  $\mathbf{x} \in \mathbf{R}^n - \{\mathbf{0}\}$ .

**Lemma 5.** (Boyd and Vandenberghe (2004)). Let  $A, B, C$  be constant matrices with compatible dimensions satisfying  $A = A^T$  and  $C = C^T$  and  $C > 0$ . Then, the LMI  $X = \begin{bmatrix} A & B \\ * & -C \end{bmatrix} < 0$  is equivalent to  $A + BC^{-1}B^T < 0$ .

## 3. PROBLEM STATEMENT

We formulate the problem of integrated RM and VSL control considering an actual freeway corridor that is composed of the mainline with multiple on-ramps and a single off-ramp, as shown by Figure 1.

The variables, which are used in Figure 1, used to formulate the equations to represent the flow dynamics for this problem are presented as follows:

- $\rho_i(t)$  is the density on the  $i^{th}$  segment of the road at time  $t$ ,
- $q(x, t)$  denotes the flow of the road at distance  $x$  and time  $t$ ,
- $u_{VSL}(t)$  represents the flow to be controlled via VSL of the road at distance  $x = 0$  and time  $t$ ,
- $u_{RM,i}(t)$  symbolizes the flow to be controlled via RM for the  $i^{th}$  ramp at time  $t$  and
- $q_{out,i}(t)$  expresses the flow for the  $i^{th}$  off-ramp at time  $t$ .
- $r_i(t)$  exemplifies the number of vehicles entering the  $i^{th}$  ramp at time  $t$ .
- $l_i(t)$  typifies the queue length of the ramp entering into the Segment  $i$  at time  $t$ .

From the ODE model of traffic flow, we have

$$\begin{aligned} \dot{\rho}_1(t) &= \frac{u_{VSL}(t) - q(L_1^-, t)}{L_1}, \\ \dot{\rho}_{k+1}(t) &= \frac{q(L_k^+, t) - q(L_{k+1}^-, t)}{L_{k+1} - L_k}, \text{ for } k = 1, 2, 3, 4, \\ \dot{\rho}_6(t) &= \frac{q(L_5^+, t) - q_{out,2}(t)}{L_6 - L_5} \end{aligned} \quad (3)$$

Here,  $L_i^+$  denotes the downstream position whereas  $L_i^-$  denotes the upstream position. Note also that,

$$\begin{aligned} q(L_1^-, t) &= q(L_1^+, t) + q_{out,1}(t), \\ q(L_i^+, t) &= q(L_i^-, t) + u_{RM,(i-1)}(t), \end{aligned} \quad (4)$$

where  $i = 2, 3, 4, 5$ . By applying the Equation (4) to (3), we have

$$\begin{aligned} \dot{\rho}_2(t) &= \frac{q(L_1^-, t) - q_{out,1}(t) - q(L_2^-, t)}{L_2 - L_1}, \\ \dot{\rho}_{k+1}(t) &= \frac{q(L_k^-, t) + u_{RM,k-1}(t) - q(L_{k+1}^-, t)}{L_{k+1} - L_k}, \\ \dot{\rho}_6(t) &= \frac{q(L_5^-, t) + u_{RM,4}(t) - q_{out,2}(t)}{L_6 - L_5} \end{aligned} \quad (5)$$

where  $k = 2, 3, 4$ . As we utilize in the present study, the state-of-art traffic simulation programs are using microscopic traffic flow models. Here, the widely known microscopic traffic model is the linear car-following model. This model is based on an elementary Newtonian physics law which is response equals to the stimulus. The car-following models adopt a speed-density relationship that is generally represented by a piecewise function

$$v(\rho) = \begin{cases} v_f, & \rho < \rho_c \\ C \left( \frac{1}{\rho} - \frac{1}{\rho_{max}} \right), & \rho \geq \rho_c \end{cases}$$

where  $\rho_c$  represents the critical density and  $C$  is a sensitivity parameter. The corresponding flow-density relationship of the traffic flow can be obtained by applying  $q = v\rho$

$$q(\rho) = \begin{cases} v_f \rho, & \rho < \rho_c \\ C \left( 1 - \frac{\rho}{\rho_{max}} \right), & \rho \geq \rho_c \end{cases}$$

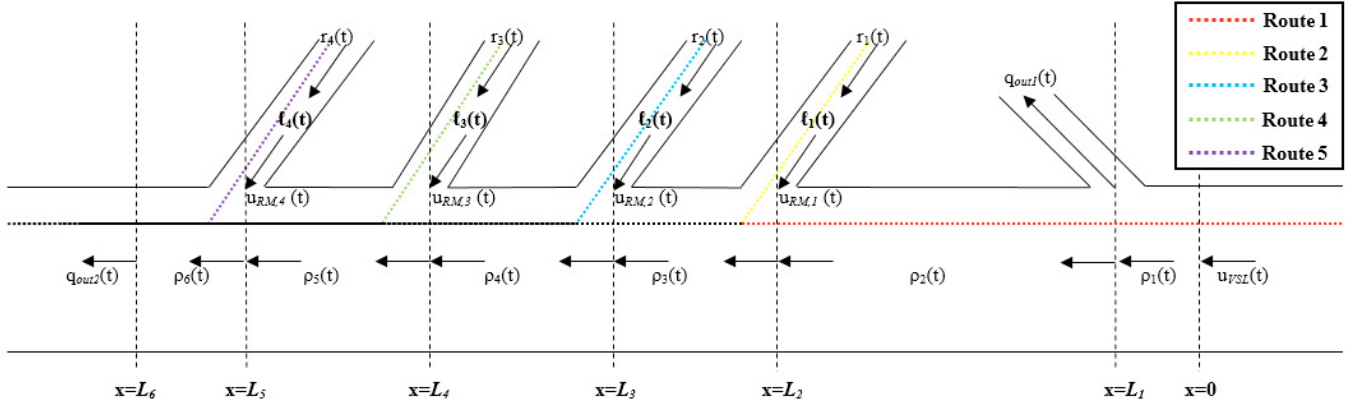


Fig. 1. The Generic Corridor.

By defining  $e_i(t) = \rho_i(t) - \rho_c$  for  $i = 1, 2, \dots, 6$ , it is possible to express the flow dynamics for the congested state as

$$q(L_i^-, t) = C \left( 1 - \frac{e_i(t) + \rho_c}{\rho_{max}} \right), \text{ for } i = 1, 2, 3, 4, 5 \quad (6)$$

$$q_{out,2}(t) = C \left( 1 - \frac{e_6(t) + \rho_c}{\rho_{max}} \right).$$

So, the Equation (3) yields to

$$\begin{aligned} \dot{e}_1(t) &= \frac{C}{\rho_{max}L_1} e_1(t) - C \left( 1 - \frac{\rho_c}{\rho_{max}} \right) \\ &\quad + \frac{1}{L_1} u_{VSL}(t), \\ \dot{e}_2(t) &= \frac{C}{\rho_{max}(L_2 - L_1)} e_2(t) - \frac{C}{\rho_{max}(L_2 - L_1)} e_1(t) \\ &\quad - \frac{1}{L_2 - L_1} q_{out,1}(t), \\ \dot{e}_{k+1}(t) &= \frac{C}{\rho_{max}(L_{k+1} - L_k)} e_{k+1}(t) \\ &\quad - \frac{C}{\rho_{max}(L_{k+1} - L_k)} e_k(t) \\ &\quad + \frac{1}{L_{k+1} - L_k} u_{RM,(k-1)}(t), \end{aligned} \quad (7)$$

where  $k = 2, 3, 4, 5$  with the ramp dynamics

$$\dot{\ell}_i(t) = r_i(t) - u_{RM,i}(t), \text{ for } i = 1, 2, 3, 4. \quad (8)$$

So, writing (7) in a compact form yields

$$\dot{\mathbf{x}}(t) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t) + \mathbf{w}(t). \quad (9)$$

Here,

$$\mathbf{A} = \begin{bmatrix} a_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -a_2 & a_2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -a_3 & a_3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -a_4 & a_4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -a_5 & a_5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -a_6 & a_6 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix},$$

$$\mathbf{B} = \begin{bmatrix} \frac{1}{L_1} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{1}{(L_3 - L_2)} & 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{1}{(L_4 - L_3)} & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{(L_5 - L_4)} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{1}{(L_6 - L_5)} & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \end{bmatrix},$$

$$\mathbf{x}(t) = [e_1(t) \ e_2(t) \ e_3(t) \ e_4(t) \ e_5(t) \ e_6(t) \ \ell_1(t) \ \ell_2(t) \ \ell_3(t) \ \ell_4(t)]^T,$$

$$\mathbf{u}(t) = [u_{VSL}(t) \ u_{RM,1}(t) \ u_{RM,2}(t) \ u_{RM,3}(t) \ u_{RM,4}(t)]^T,$$

$$\mathbf{w}(t) = \left[ -C \left( 1 - \frac{\rho_c}{\rho_{max}} \right) \quad -\frac{1}{L_2 - L_1} q_{out,1}(t) \quad 0 \quad 0 \quad 0 \quad 0 \quad 0 \quad 0 \quad r_1(t) \quad r_2(t) \quad r_3(t) \quad r_4(t) \right]^T,$$

$$a_1 = \frac{C}{\rho_{max}L_1}, \quad a_2 = \frac{C}{\rho_{max}(L_2 - L_1)},$$

$$a_3 = \frac{C}{\rho_{max}(L_3 - L_2)}, \quad a_4 = \frac{C}{\rho_{max}(L_4 - L_3)},$$

$$a_5 = \frac{C}{\rho_{max}(L_5 - L_4)}, \quad a_6 = \frac{C}{\rho_{max}(L_6 - L_5)}.$$

Let us define the state feedback controller as

$$\mathbf{u}(t) = \mathbf{K}\mathbf{x}(t) \quad (10)$$

and the closed-loop system (CLS) will be

$$\dot{\mathbf{x}}(t) = \mathbf{A}_K \mathbf{x}(t) + \mathbf{w}(t) \quad (11)$$

where  $\mathbf{A}_K = \mathbf{A} + \mathbf{B}\mathbf{K}$ .

*Remark 2.* The control process is developed for the congested state of traffic flow.

#### 4. $H_\infty$ STATE FEEDBACK CONTROLLER

In the following, we define the  $H_\infty$  SFC to apply the combined control of freeway traffic with coordinated RM and VSL approaches. To this aim, let us define the performance index as

$$J = \int_0^\infty (\mathbf{x}^T(t)\mathbf{x}(t) - \gamma^2 \mathbf{w}^T(t)\mathbf{w}(t)) dt, \quad (12)$$

where  $\gamma$  is a nonnegative constant. Before proceed further, let us define the  $H_\infty$  controller.

*Definition 6.* Given a scalar  $\gamma > 0$ . The state feedback controller (10) is said to be an  $H_\infty$  controller for (9), if the following two conditions hold:

- (1) The CLS (11) is asymptotically stable with zero disturbance input  $\mathbf{w} \equiv \mathbf{0}$ .
- (2) There exists a Lyapunov function of class  $C^1$  satisfying  $\dot{V} < \gamma^2 \mathbf{w}^T(t)\mathbf{w}(t) - \mathbf{x}^T(t)\mathbf{x}(t)$  for every non-zero  $\mathbf{w} \in L_2$  on  $[0, \infty)$ .

*Theorem 7.* The system (9) is asymptotically stable and the  $H_\infty$  performance bound constraint  $J < 0$  holds for every  $\gamma > 0$ , if there exists matrices  $\mathbf{P} > 0$  and  $\mathbf{Y}$  satisfying

$$\begin{bmatrix} \Omega_{11} & \mathbf{I} & \mathbf{P} \\ * & -\gamma^2 \mathbf{I} & \mathbf{0} \\ * & * & -\mathbf{I} \end{bmatrix} < 0. \quad (13)$$

where  $\Omega_{11} = \mathbf{A}\mathbf{P} + \mathbf{P}\mathbf{A}^T + \mathbf{B}\mathbf{Y} + \mathbf{Y}^T\mathbf{B}^T$ . The gain matrix is perceived as  $\mathbf{K} = \mathbf{Y}\mathbf{P}^{-1}$ .

**Proof:** Let us consider the Lyapunov functional  $V(\mathbf{x}(t)) = \mathbf{x}^T(t)\mathbf{P}^{-1}\mathbf{x}(t)$ . So, the Hamiltonian will be

$$\begin{aligned} H(\mathbf{x}(t), \mathbf{w}(t), t) &= \dot{V}(\mathbf{x}(t)) + \mathbf{x}^T(t)\mathbf{x}(t) - \gamma^2 \mathbf{w}^T(t)\mathbf{w}(t) \\ &= 2\mathbf{x}^T(t)\mathbf{P}^{-1}\dot{\mathbf{x}}(t) + \mathbf{x}^T(t)\mathbf{x}(t) - \gamma^2 \mathbf{w}^T(t)\mathbf{w}(t) \\ &= \begin{bmatrix} \mathbf{x}(t) \\ \mathbf{w}(t) \end{bmatrix}^T \begin{bmatrix} \bar{\Omega}_{11} & \mathbf{P}^{-1} \\ * & -\gamma^2 \mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{x}(t) \\ \mathbf{w}(t) \end{bmatrix} \end{aligned}$$

where  $\bar{\Omega}_{11} = \mathbf{A}_K^T \mathbf{P}^{-1} + \mathbf{P}^{-1} \mathbf{A}_K + \mathbf{I}$ . By state transformation, one can write

$$H(\mathbf{x}(t), \mathbf{w}(t), t) = \begin{bmatrix} \mathbf{x}(t) \\ \mathbf{w}(t) \end{bmatrix}^T \begin{bmatrix} \mathbf{P}^{-1} \\ \mathbf{I} \end{bmatrix}^T \begin{bmatrix} \Omega_{11} + \mathbf{P}^T \mathbf{P} & \mathbf{I} \\ * & -\gamma^2 \mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{P}^{-1} \\ \mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{x}(t) \\ \mathbf{w}(t) \end{bmatrix}.$$

By using Lemma 5,  $H(\mathbf{x}(t), \mathbf{w}(t), t) < 0$  holds if (13) is satisfied.

#### 5. SIMULATION SETUP

In this section, we first present our framework in order to implement the  $H_\infty$  SFC into VISSIM through MATLAB. Following the framework, the simulation process is decomposed into the following stages:

- (1) Field data are collected and network characteristics are determined from the study field.

- (2) Network parameters, vehicle inputs, vehicle routes, simulation settings, signal controllers, signal groups and detectors are determined through VISSIM whereas the system matrices are calculated through MATLAB.
- (3) Occupancy values of each segment of the road and queue lengths of each on-ramps are measured for each simulation cycle. Then, occupancy values are converted into density and then into error terms to form the state vector.
- (4) Meanwhile, (13) is solved via LMI Toolbox of MATLAB to calculate the feedback gain.
- (5) Once the state vector and feedback gain is evaluated, the flow values are obtained to decide the green time value of the current cycle and the VSL value to be shown.

In order to analyze the peak-hour effect and demonstrate the control performance in varying flow conditions, the duration of simulation is set to run for three hours. The simulations are executed for both ‘‘No Control’’ and  $H_\infty$  SFC cases using a personal computer with an i7 CPU with 3.0 GHz processor and 8 GB RAM. The critical parameters of traffic flow are set to  $v_f = 120 \text{ km} \cdot \text{h}^{-1}$ ,  $\rho_c = 80 \text{ veh} \cdot \text{km}^{-1} \cdot \text{lane}^{-1}$  and  $\rho_{max} = 200 \text{ veh} \cdot \text{km}^{-1} \cdot \text{lane}^{-1}$ . The cycle time and the minimum green time for RM are chosen as 15 sec and 5 sec, respectively. Note that the sensitivity constant will be

$$C = \frac{v_f}{\frac{1}{\rho_c} - \frac{1}{\rho_{max}}}.$$

In order to apply Theorem 7, we choose the  $H_\infty$  gain as  $\gamma^2 = 1.5$ . Rest of the simulation parameters are given in Table 1.

Table 1. Simulation Parameters

Parameter	Values
Standstill Distance	1.50 m
Headway Time	2.00 s
Following Variation	3.50 m
Threshold for Entering	-8.00 s
Following Threshold (-)	-0.35 $\text{m} \cdot \text{s}^{-1}$
Following Threshold (+)	0.40 $\text{m} \cdot \text{s}^{-1}$
Speed Dependency of Oscillation	11.00 $(\text{m} \cdot \text{s})^{-1}$
Oscillation Acceleration	0.25 $\text{m} \cdot \text{s}^{-2}$
Standstill Acceleration	3.50 $\text{m} \cdot \text{s}^{-2}$
Acceleration with 80 $\text{km} \cdot \text{h}^{-1}$	1.50 $\text{m} \cdot \text{s}^{-2}$

The test corridor is a piece of the D-100 freeway on the European side of Istanbul with the segment characteristics given in Table 2.

Table 2. Segment Properties

Segment No	# of Lanes	Length (km)	Density
1	4	4.29	$\rho_1(t)$
2	3	0.79	$\rho_2(t)$
3	3	0.96	$\rho_3(t)$
4	4	0.67	$\rho_4(t)$
5	4	0.03	$\rho_5(t)$
6	4	1.14	$\rho_6(t)$

Field data are obtained from successive microwave sensor units located on the freeway segment to our interest. The measurements are aggregated in 2 mins interval and are used for the calibration process.

In order to apply RM, the green time values are evaluated by calculating  $u_{RM,i}(k)$  for each cycle  $k$  with (10). Then, the green time  $GT_i(k)$  to be applied is determined as

$$GT_i(k) = \begin{cases} MGT, & u_{RM,i}(k) < MFR \\ \frac{u_{RM,i}(k)}{u_{sat}} \cdot CT, & MFR \leq u_{RM,i}(k) \leq u_{sat} \\ CT, & u_{RM,i}(k) > u_{sat} \end{cases}$$

where

- $MGT$  is the minimum green time,
- $MFR = \frac{u_{sat} \cdot MGT}{CT}$  is the minimum flow rate,
- $CT$  is the cycle time,
- $u_{sat}$  is the saturated flow.

In order to adjust the green time in each cycle,  $GT_i(k)$  is defined as a piecewise function. The green time in each cycle is bounded above by the cycle time and below by the predetermined minimum green time. In case of high congestion, the minimum green time constraint will be considered. In the absence of such kind of a constraint, the corresponding ramp signal would be adjusted to red during the whole cycle time. Between the minimum green time and the cycle time,  $GT_i(k)$  takes the value  $\frac{u_{RM,i}(k)}{u_{sat}} \cdot CT$  which is the equivalent value of  $u_{RM,i}(k)$  in the cycle time.

To apply VSL, the desired flow values  $u_{VSL}(k)$  are calculated for every cycle  $k$ . Then, it is converted to desired speed values  $v_{VSL}(k)$  by using the speed-flow relationship of car-following model. The speed values to be reflected  $v_{refl}(k)$  are calculated as

$$v_{refl}(k) = \begin{cases} v_{min}, & v_{VSL}(k) < v_{min} \\ 10 \cdot \lfloor v_{VSL}(k) / 10 \rfloor, & v_{min} \leq v_{VSL}(k) \leq v_{max} \\ v_{max}, & v_{VSL}(k) > v_{max} \end{cases}$$

where  $\lfloor \cdot \rfloor$  function rounds its argument to the next smaller integer,  $v_{min} = 50 \text{ km} \cdot \text{h}^{-1}$  is the minimum speed to be shown,  $v_{max} = 120 \text{ km} \cdot \text{h}^{-1}$  is the maximum speed to be shown. As in the determination of green time in each cycle, the speed values to be reflected are also defined by a piecewise function. If  $v_{VSL}(k)$  is in the range between the predetermined minimum and maximum speed values, then it is floored to the closest multiple of 10. For instance, in case that  $v_{VSL}(k)$  is calculated as  $67 \text{ km} \cdot \text{h}^{-1}$ , the speed limit  $v_{refl}(k)$  will be  $60 \text{ km} \cdot \text{h}^{-1}$ .

## 6. RESULTS AND DISCUSSION

This research provides an overview of applications of RM and VSL algorithms on a real network located in Istanbul. As far as it is seen from Table 3 and 4, it can be seen that coordinated RM and VSL using  $H_\infty$  SFC gives better results for minimizing the average densities of mainflow segments in contrast to “No Control” case without having a dramatic increase in the average queue lengths of the corresponding ramps.

Table 3. Average Densities of Mainflow Segments (in  $\text{veh} \cdot \text{km}^{-1}$ )

	# of Lanes	No Control	$H_\infty$ SFC
$\rho_1(t)$	4	327.42	210.28
$\rho_2(t)$	3	274.01	203.37
$\rho_3(t)$	3	214.65	156.81
$\rho_4(t)$	4	259.89	199.18
$\rho_5(t)$	4	105.27	92.15
$\rho_6(t)$	4	75.49	91.88

The most significant change can be observed for  $\rho_1(t)$  which represents the density of Segment 1. The major reason for that is the segment length plays an important role to calculate the

Table 4. Average Queue Length of the Corresponding Ramps (in  $m$ )

	No Control	$H_\infty$ SFC
$\ell_1(t)$	187.99	191.26
$\ell_2(t)$	175.83	181.44
$\ell_3(t)$	182.42	188.96
$\ell_4(t)$	172.73	183.36

density. Moreover, it should be pointed out that the  $H_\infty$  SFC case gives low-density values despite the fact that the ramps have longer queue lengths.

The occupancy profiles under “No Control” and  $H_\infty$  SFC cases for the mainline are presented in Figure 2.

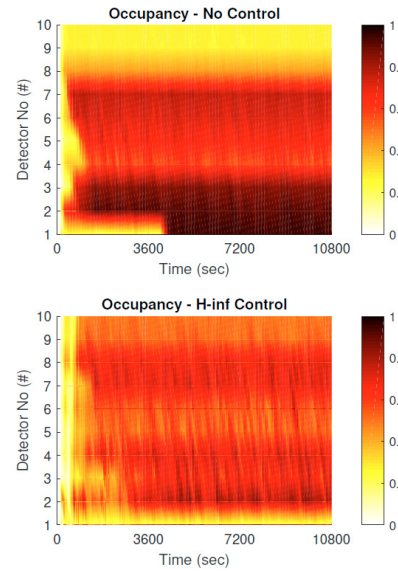


Fig. 2. Occupancy Maps of “No Control” and  $H_\infty$  SFC Cases.

It should be noted that the occupancy values are kept under the critical value of 0.8 on the mainline during the simulation.

For the analysis of the total outflow performance of the network, the total throughput of the system in a two minute period is collected. As seen in Figure 3, the  $H_\infty$  SFC causes a fluctuation in the as an early effect, however after that the  $H_\infty$  SFC outperforms the “No Control” case and a 20.37 % increase in total throughput is achieved with the consideration of the early effect, but after that the average increase of the total throughput is reached at 22.98 %.

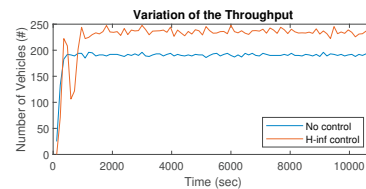


Fig. 3. Throughput of System.

## 7. CONCLUSIONS

Aiming to enhance the performance of the mainstream traffic flow with a tolerable queue accumulation on on-ramps, we proposed the integrated freeway control approach by coordinating the applications of RM and VSL based on  $H_\infty$  SFC. Based on

the test results from microscopic simulations, the mainstream traffic flow was kept under a defined critical density while the queue accumulation on the ramps were retarded. Future directions of our research include alternating the effects of driver behavior on simulation adopting different car-following models and adapting our control strategy to different future mobility applications.

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